



Corporate Ownership & Governance Intelligence: Client Evidence Preparation Guide

ILLUSTRATIVE DIVISION CLIENT GUIDE | PUBLIC-SOURCE AND SERVICE METHODOLOGY

No completed client matter is represented. This document demonstrates questions, evidence requirements, limitations and possible deliverables. It contains no verified findings about a named buyer or company. A matter, source access, fixed fee and timing require separate written acceptance.

Why a buyer commissions this work

Is the claimed owner, controlling entity and contracting entity the correct current legal person?

Typical instruction: New ownership, inconsistent filings, counterparty onboarding or a proposed contract. Buyer groups include M&A counsel, private-credit diligence teams, investors, compliance directors.

Documents and identifiers to assemble

- Exact company, counterparty, project or asset identity, aliases and known registration numbers.
- Current decision owner, written decision, jurisdiction and relevant deadline.
- Main client-supplied statement together with previous iterations or terms, where lawful.
- Original secretary of state record, statutory filings and control statement, or a list of unavailable originals.
- One account or public record likely to contradict the client's preferred explanation.

The five questions a buyer should resolve first

- Is the claimed owner, controlling entity and contracting entity the correct current legal person?
- Is the secretary of state record properly dated and matched to the exact legal subject?
- Does the statutory filings have an independent issuer, or is it repeating a press statement?
- Might differing entity names or inactive entity cause reliance to be misplaced?
- What precise record would resolve ownership chain not evidenced?

Choose the smallest justified purchase

Immediate buyer problem; Evidence product; Starting point

Entry decision; Ownership & Entity Reality Check;

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Identity decision; Identity & Source Map;

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Claim decision; Material Claims Verification;
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Timing decision; Independent Chronology Reconstruction;
/specialist-divisions/corporate-ownership-governance-intelligence/offers/chronology-reconstruction/
Counterparty decision; Counterparty & Relationship Check;
/specialist-divisions/corporate-ownership-governance-intelligence/offers/counterparty-network-check/
Decision-pack review; Decision-Ready Evidence Dossier;
/specialist-divisions/corporate-ownership-governance-intelligence/offers/decision-dossier/
Repeat-work pilot; Repeat-File Evidence Desk;
/specialist-divisions/corporate-ownership-governance-intelligence/offers/repeat-file-desk/
Monitoring pilot; Change-of-Control Evidence Watch;
/specialist-divisions/corporate-ownership-governance-intelligence/offers/material-change-watch/

Outputs and reliance

The outcome should contain registry source map, historical control diagram and name-resolution log as appropriate to the agreed scope, together with a dated source index, contrary evidence, remaining uncertainty and human review status.

Source candidates and critical caveats

Ref; Primary source / comparator; Link; Evidence limitation and status

FINCEN-2026; U.S. FinCEN / Federal Register: Beneficial ownership reporting rules as amended 2026;
<https://www.govinfo.gov/app/details/FR-2026-08-14/2026-16576>; WEB CHECKED 2026-10-12. Domestic U.S. entities exempt under final 2026 BOI revision; other verified records still matter

SEC-EDGAR; U.S. SEC: EDGAR search for public company filings; <https://www.sec.gov/search-filings>; WEB CHECKED 2026-10-12. Filings do not cover all private companies or guarantee current beneficial owner identity

S02; FATF legal persons and beneficial ownership;
<https://www.fatf-gafi.org/en/publications/Fatfrecommendations/Guidance-Beneficial-Ownership-Legal-Persons.html>;
REGISTER STARTING POINT; NOT NEWLY RECHECKED. Source candidate; subject relevance, date and access must be verified case-by-case.

Do not confuse source availability with proof of the individual case. Data may be stale, incomplete or access-controlled. Initial portal research is not a mandate, accreditation or certification.

Legal and professional boundary

Jurisdiction-specific records can be incomplete; no legal opinion or ultimate beneficial-ownership certification.

Next step

Request a written, defined scope and commercially approved fee before instructing, paying or sharing confidential records.

- Confirm the appointing client, exact research question, relevant location, decision date, conflicts, privacy, sanctions and licensing.
- Check original source identity, authority, issue date, effective date, retrieval timestamp, version and lawful access.
- Reproduce important calculations and compare at least one plausible contrary explanation.

- Keep allegations, regulatory findings, historical claims and unresolved matches distinct.
- Mark each proposed finding supported, partially supported, contradicted, unresolved or outside mandate; include missing-source requests.
- Confirm that illustrations do not imply a real engagement, completed investigation, certified outcome or affiliation with named comparators.
- Obtain final human sign-off on accuracy, legal boundaries, confidentiality, claims and publication version before release.

Professional boundaries: Independent research support only. No legal, tax, investment, audit, valuation, brokerage, investigation requiring a specific professional licence or regulated opinion is implied. No confidential material should be sent before instructions.